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7-11-02

Docket No.: SONY 3.0-022
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Ludtke et al.

Application No.: 10/014,960

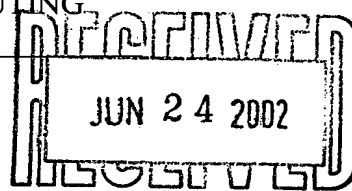
Group Art Unit: 2681

Filed: December 11, 2001

Examiner: Not Yet Assigned

For: METHOD OR SYSTEM FOR EXECUTING
DEFERRED TRANSACTIONS

Commissioner for Patents
Washington, DC 20231



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It is respectfully requested that the references listed on the enclosed form be made of record and considered with respect to the above-referenced U.S. patent application. A copy of each piece of information is enclosed. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited information is legally available prior art or that the same are pertinent or material.

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Dated: May 28, 2002

Respectfully submitted,

By

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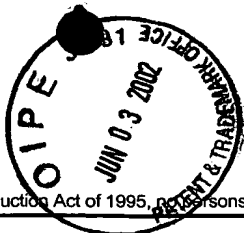
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PTO/SB/08A (10-01)

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	10/014,960
				Filing Date	December 11, 2001
				First Named Inventor	Harold A. Ludtke
				Art Unit	
				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket Number	SONY 3.0-022

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	AA	5,737,701	04/07/98	Rosenthal et al.	
	AB	6,105,010	08/15/00	Musgrave	
	AC				
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		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
	BA	WO 99/06928	02/11/99			

¹ Applicant's unique citation designation number (optional). ² See attached Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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